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PTO/SB/21 (08-00)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 16

Application Number	10/041,950	
Filing Date	10/19/2001	
First Named Inventor	Inventor JOSHUA LEVY	
Group Art Unit	1646	
Examiner Name	NYA	
Attorney Docket Number	HEMAC:58774	

03/26/2002

	ENCLOSURES (check	all that apply)				
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group				
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group				
After Final	Petition	Proprietary Information				
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):				
Express Abandonment Request	Terminal Disclaimer	Executed Declaration and Power				
Express / Barldonnion / Yoquest	Request for Refund	of Attorney; Abstract of the				
Information Disclosure Statement	CD, Number of CD(s)	Disclosure; Return Postcard				
Certified Copy of Priority Document(s)	Remarks					
Response to Missing Parts/ Incomplete Application	2					
Response to Missing Parts under 37 CFR 1.52 or 1.53		RADEMARK OFFICE				
		,				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm David G. Parkhurst, Esq., Reg. No. 29,422 Individual name FULWIDER PATTON LEE & UTECHT, LLP						
Signature David S	J. Lacklan vo					
Date	03/26/2002					
	CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being mail in an envelope addressed to: Commissioner	deposited with the United States Postal Serv for Patents, Washington, DC 20231 on this c	rice with sufficient postage as first class date: 03/26/2002				
Typed or printed name David G. Pa	rkhurst, Esq., Reg. No. 29,422					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

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Patent fees are subject to annual revision.

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Complete if Known				
Application Number	10/041,950			
Filing Date	10/19/2001			
First Named Inventor	JOSHUA LEVY			
Examiner Name	NYA			
Group Art Unit	1646			
Attorney Docket No.	HEMAC:58774			

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OMB 0651-0032

PTO/SB/17 (10-01)

170.00

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SUBTOTAL (3)

1216.00 **TOTAL AMOUNT OF PAYMENT** (\$) FEE CALCULATION (continued) METHOD OF PAYMENT The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES indicated fees and credit any overpayments to: Large Smali Deposit **Entity Entity** Account 06-2425 Fee Code Fee Paid Number Fee Description Code (\$) (\$) Deposit 130.00 Fulwider Patton Lee Utecht... Account 130 205 65 Surcharge - late filing fee or oath 105 Name 127 50 227 25 Surcharge - late provisional filing fee or Charge Any Additional Fee Required cover sheet Under 37 CFR 1.16 and 1.17 130 139 139 130 Non-English specification Applicant claims small entity status. See 37 CFR 1.27 147 2,520 147 2,520 For filing a request for ex parte reexamination 2. 1 Payment Enclosed: Requesting publication of SIR prior to 920\* 112 920\* Money Examiner action Check Credit card Other 113 1,840\* 113 1,840\* Requesting publication of SIR after **FEE CALCULATION** Examiner action 215 Extension for reply within first month 115 110 55 1. BASIC FILING FEE 400 216 200 Extension for reply within second month Large Entity Small Entity Fee Fee Fee Description 217 460 Extension for reply within third month Fee 920 Fee Fee Paid Code (\$) Code (\$) 118 1,440 218 720 Extension for reply within fourth month 101 740 201 370 Utility filing fee 740.00 1,960 228 980 128 Extension for reply within fifth month 106 330 206 165 Design filing fee 320 219 160 Notice of Appeal 107 510 207 255 Plant filing fee 120 320 220 160 Filing a brief in support of an appeal 740 208 370 Reissue filing fee 108 221 121 280 140 Request for oral hearing 114 160 214 80 Provisional filing fee Petition to institute a public use proceeding 1.510 138 1.510 740.00 SUBTOTAL (1) (\$) 140 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES 141 1.280 241 640 Petition to revive - unintentional Fee from Ext<u>ra Claim</u>s 142 1,280 242 640 Utility issue fee (or reissue) 18.00 306.00 17] x [ 143 460 243 230 Design issue fee **Total Claims** Independent 3\*\* = 620 244 310 Plant issue fee 144 Claims Multiple Dependent 122 130 122 130 Petitions to the Commissioner 123 50 50 123 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180 126 180 Submission of Information Disclosure Stmt 126 Fee Fee **Fee Description** Fee Code (\$) Code (\$) Recording each patent assignment per 40.00 40 581 581 40 property (times number of properties) 103 18 203 9 Claims in excess of 20 Filing a submission after final rejection (37 CFR § 1.129(a)) 740 246 102 84 202 42 Independent claims in excess of 3 146 370 280 204 140 Multiple dependent claim, if not paid 104 For each additional invention to be 740 249 370 \*\* Reissue independent claims 209 42 109 84 examined (37 CFR § 1.129(b)) over original patent 179 740 279 370 Request for Continued Examination (RCE) 110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent Request for expedited examination of a design application 169 900 169 900

SUBMITTED BY	SUBMITTED BY Complete (if applicable)			
Name (Print/Type)	David G. Parkhurst, Esg.	Registration No. (Attorney/Agent) 29,422	Telephone	310-824-5555
Signature	Savid A . Facklin	W	Date	03/26/2002

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

I(\$) 306.00

SUBTOTAL (2)

\*\*or number previously paid, if greater; For Reissues, see above

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# United States Patent and Trademark Office



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.usclo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/041,950

10/19/2001

Joshua Levy

HEMAC: 58774

24201

FULWIDER PATTON LEE & UTECHT, LLP HOWARD HUGHES CENTER 6060 CENTER DRIVE TENTH FLOOR LOS ANGELES, CA 90045



**CONFIRMATION NO. 4919** 

FORMALITIES LETTER



Date Mailed: 02/06/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

4/09) 4002 BABRAHA1 00000044 10041950

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$306.
  - \$306 for 17 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1176.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b). Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joshua Levy et al.

Application No. 10/041,950

Filed: October 19, 2001

For: METHOD FOR HIGH YIELD PURIFICATION OF IMMUNE GLOBULINS FROM BLOOD PLASMA AND BLOOD PLASMA INTERTERMEDIATES

Date: March 26, 2002

Group Art Unit 1646

#### CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with sufficient postage as First Class Mail in an envelope addressed to: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231 on March 26, 2002.

David G. Parkhurst, Reg. No. 29,422

Attorney for Applicant

#### **SUBMISSION OF MISSING PARTS OF APPLICATION**

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application - Filing Date Granted, mailed February 6, 2002 (copy attached), applicant submits herewith an executed Declaration and Power of Attorney in the above identified patent application. Enclosed is our check in the amount of \$1,216.00 covering the filing fee of \$740 (large entity); extra claims fee of \$306; late surcharge of \$130 in compliance with 37 C.F.R. 1.16(e); and \$40 to

cover the Assignment recordation fee being filed concurrently herewith.

Also enclosed is an Abstract of the disclosure as required under 37 C.F.R. 1.72(b).

Please charge any additional fee or credit any overpayment to our Deposit

Account No. 06-2425. A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By David & , Parkly &

DGP:gb

Enc.: Executed Declaration and Power of Attorney

Executed Assignment Abstract of the disclosure Check for \$1,216.00

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045

Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201